

RESOLUTION NO. 2893

A RESOLUTION OF THE NEW YORK STATE ENVIRONMENTAL
FACILITIES CORPORATION APPROVING THE APPOINTMENT
OF SECRETARY TO THE CORPORATION

WHEREAS, the Bylaws of the Corporation provide that officers of the Corporation be appointed by the President of the Corporation, subject to approval by resolution of the Board of Directors; and

WHEREAS, effective April 12, 2021 Thomas Baines was appointed Secretary to the Corporation and Senior Policy Advisor by the President and such appointment was approved by the Board by Resolution No. 2827 adopted on April 8, 2021; and

WHEREAS, Thomas Baines resigned from the Corporation, effective February 4, 2022 at 5:00 p.m.; and

WHEREAS, the President appointed Kate Siobhan Howard as Secretary to the Corporation effective beginning of business February 7, 2022 subject to approval by the Board; and

WHEREAS, the Board of Directors desires to approve said appointment.

NOW, THEREFORE, BE IT RESOLVED BY THE DIRECTORS OF THE NEW YORK STATE ENVIRONMENTAL FACILITIES CORPORATION, AS FOLLOWS:

Section 1. The President's appointment of Kate Siobhan Howard as Secretary to the Corporation is hereby approved.

Section 2. This Resolution shall take effect immediately upon its adoption.

BY: /s/
Kate Siobhan Howard
Secretary to the Corporation

RESOLUTION NO. 2894

A RESOLUTION OF THE NEW YORK STATE ENVIRONMENTAL FACILITIES CORPORATION MAKING CERTAIN DETERMINATIONS AND AUTHORIZING CERTAIN ACTIONS IN CONNECTION WITH THE DRINKING WATER STATE REVOLVING FUND AND AUTHORIZING FINANCIAL ASSISTANCE PAYMENTS TO CERTAIN MUNICIPALITIES TO FUND ELIGIBLE DRINKING WATER PROJECTS FROM FUNDS APPROPRIATED FOR WATER INFRASTRUCTURE IMPROVEMENT PROJECTS

WHEREAS, pursuant to the New York State Environmental Facilities Corporation Act, being Chapter 744 of the Laws of 1970 constituting Title 12 of Article 5 of the Public Authorities Law and Chapter 43-A of the Consolidated Laws of the State of New York, as amended (the "Act"), the New York State Environmental Facilities Corporation (the "Corporation") has been established as a body corporate and politic constituting a public benefit corporation; and

WHEREAS, pursuant to Chapter 413 of the Laws of 1996, as amended (the "DWSRF Act"), the Drinking Water State Revolving Fund (the "DWSRF") was established in the custody of the Corporation; and

WHEREAS, the Corporation is charged with providing low cost financing to eligible recipients while maintaining the fiscal integrity of the DWSRF; and

WHEREAS, each of the applicants listed in **Exhibit A** hereto has submitted an application to the Corporation for financial assistance under the DWSRF Act, for the purpose of financing or refinancing water supply projects undertaken and completed or to be undertaken and completed by such applicants; and

WHEREAS, each of the water supply projects proposed to be financed or refinanced by such applicants through the short-term interest-free, short-term market-rate or long-term financings and/or grants descriptions of which are set out in **Exhibit A** hereto (collectively, the "DWSRF Projects"), constitute an "eligible project" within the meaning of the DWSRF Act as certified to the Corporation by the New York State Department of Health; and

WHEREAS, with respect to the amounts identified in **Exhibit A**, the Corporation has, where necessary, identified funds available in excess of the amounts listed in the Intended Use Plan (the "IUP") or has made such moneys available through by-pass as provided in the IUP; and

WHEREAS, with respect to the short-term market-rate financings, available funds

within the DWSRF have been identified, and in the future the Corporation may seek the Board of Directors' approval to issue its Corporation Bonds to fund any such projects; and

WHEREAS, the Corporation desires to provide such short-term interest-free, short-term market-rate or long-term interest-free financings to the respective applicants, in accordance with the terms and conditions set forth in **Exhibit A**, and as will be more fully set forth in the closing documents for the financings (the "Direct Financings"); and

WHEREAS, the New York State Water Infrastructure Improvement Act of 2015, being Part G of Chapter 60 of the Laws of 2015 ("WIIA 2015"), and the Clean Water Infrastructure Act of 2017, being Part T of Chapter 57 of the Laws of 2017 (the "Infrastructure Act") each authorizes and directs the Corporation to provide financial assistance payments ("Grants"), from funds appropriated for such purpose, to municipalities in support of water quality infrastructure projects; and

WHEREAS, \$400 million was appropriated over a three-year period for the purposes of WIIA 2015, and no less than \$1 billion was appropriated for purposes of funding water infrastructure improvement projects under the Infrastructure Act; and

WHEREAS, subsequent annual appropriations have been made available for the purpose of providing Grants to municipalities in support of water infrastructure improvement projects;

WHEREAS, preference for award of Grants shall be given to municipalities that meet the Corporation's hardship criteria and projects that result in the greatest water quality improvement or greatest reduction in serious risk to public health; and

WHEREAS, the Department of Health has completed an evaluation of the projects set forth in **Exhibit B** (hereinafter referred to as the "WIIA Projects"), determined that each WIIA Project constitutes an eligible "water quality infrastructure project" as defined in WIIA, and otherwise meets the criteria for award of a WIIA Grant; and

WHEREAS, the Corporation desires to provide WIIA Grants to the applicants listed in **Exhibit B** in support of water quality infrastructure projects in accordance with WIIA and subject to continuing compliance with applicable law as will be more fully set forth in the closing documents for the financing of each WIIA Project.

WHEREAS, pursuant to WIIA, a municipality is not required to accept DWSRF financing from the Corporation to receive a WIIA Grant; however, municipalities often seek DWSRF financing in addition to their WIIA grant to fund the total cost of their project; and

WHEREAS, for any DWSRF Project or WIIA Project subject to Article 6 of the Environmental Conservation Law, or the State Smart Growth Public Infrastructure Policy Act, the President has attested in a written Smart Growth Impact Statement that the project meets the relevant criteria as set forth in the Smart Growth Public Infrastructure

Policy Act to the extent practicable or, if a Project does not meet the relevant criteria and compliance is considered impracticable, has provided a detailed statement of justification; and

NOW, THEREFORE, BE IT RESOLVED BY THE DIRECTORS OF THE NEW YORK STATE ENVIRONMENTAL FACILITIES CORPORATION, AS FOLLOWS:

SECTION 1. SHORT-TERM AND LONG-TERM FINANCINGS AND GRANTS

A. The Corporation has reviewed the information supplied by each financing applicant set forth in **Exhibit A**, in connection with its application for DWSRF financial assistance, and the Corporation hereby determines that it would be impracticable or inadvisable to finance all or a portion of the costs of the DWSRF Projects from the proceeds of bonds or notes that are special obligations of the Corporation. The filing of the determination contained in this Section 1.A in accordance with, and to the extent required by, applicable law and regulations by or at the direction of an officer of the Corporation is hereby authorized and confirmed.

B. To accomplish the purposes of the Act and the DWSRF Act, and to provide for the financing or refinancing of the DWSRF Projects, the Corporation is hereby authorized to provide the Direct Financings and/or the grants, from monies in the DWSRF, to the applicants listed in **Exhibit A** in compliance with applicable law. Each Direct Financing shall be in a principal amount not exceeding the principal amount set forth opposite the name of the applicant in **Exhibit A** and shall bear interest at rates not in excess of those set forth in **Exhibit A**. Each short-term Direct Financing shall mature not later than five years from the date of the closing of such short-term Financing, and each long-term Direct Financing shall mature not later than thirty years from the date of the closing of such long-term Direct Financing. Principal amounts and maturities are to be determined by either the President, any Vice President, Chief Financial Officer, General Counsel or Controller (collectively, the "Authorized Officers") of the Corporation.

The Corporation is further authorized to guarantee the payment of each short-term market-rate financing from monies and assets held in the DWSRF, each short-term market-rate financing shall be in a principal amount not exceeding the principal amount set forth opposite the name of the applicant in **Exhibit A**; shall mature not later than five years from the date of the closing of such short-term market-rate financing; and shall initially bear interest at a rate or rates not in excess of those set forth in **Exhibit A** and as may be determined by any Authorized Officer from time to time in accordance with the provisions of the financing agreement. Each short-term market-rate financing shall remain eligible to compete for and receive an interest rate subsidy if authorized in the current IUP and in the event that the project score so qualifies under such IUP, which subsidy shall be provided in accordance with the provisions of the financing agreement. Further, if authorized in the IUP, each short-term market-rate financing remains eligible to apply for a zero-interest rate (hardship) determination and receive an interest rate subject to and in accordance with the applicable IUP.

C. The Authorized Officers and the Secretary of the Corporation are each hereby authorized to prepare, execute, acknowledge and deliver to each applicant a financing agreement for such applicant's Direct Financing (including any grant), in such form as shall be determined by any Authorized Officer, with such amendments, supplements, changes, insertions and omissions as may be approved by any Authorized Officer. The Chief Financial Officer and the Secretary of the Corporation are each hereby authorized to affix the seal of the Corporation on such documents and attest the same. The execution of such documents by an Authorized Officer or the Secretary of the Corporation shall be conclusive evidence of any approval or determination authorized or required by this Section 1.C or by Section 1.B of this Resolution.

SECTION 2. FINANCIAL ASSISTANCE PAYMENTS (WIIA GRANTS)

A. The Corporation has reviewed the information supplied by each applicant set forth in **Exhibit B** in connection with its application for a WIIA Grant.

B. To accomplish the purposes of WIIA and provide financial assistance payments to the WIIA Project recipients, the Corporation is hereby authorized to provide WIIA Grants, from appropriated funds, to the applicants set forth in **Exhibit B** subject to continuing compliance with applicable law.

C. The Authorized Officers are each hereby authorized to determine the amount of each WIIA Grant (which amount shall not exceed the amounts authorized herein) and the terms thereof.

SECTION 3. GENERAL

A. All covenants, stipulations, obligations and agreements of the Corporation contained in this Resolution, and in any agreement, prepared pursuant to this Resolution, shall be deemed to be the covenants, stipulations, obligations and agreements of the Corporation to the full extent authorized or permitted by law, and such covenants, stipulations, obligations and agreements shall be binding upon the Corporation and its successors from time to time and upon any board or body to which any powers or duties affecting such covenants, stipulations, obligations and agreements shall be transferred by or in accordance with law. Except as otherwise provided in this Resolution, all rights, powers and privileges conferred and duties and liabilities imposed upon the Corporation by the provisions of this Resolution, or by any financing agreement prepared pursuant to this Resolution, shall be exercised or performed by the Corporation or by such directors, officers, board or body as may be required by law to exercise such powers and to perform such duties.

B. No covenant, stipulation, obligation or agreement contained in this Resolution, or in any agreement prepared pursuant to this Resolution, shall be deemed a covenant, stipulation, obligation or agreement of any director, officer, agent or employee of the Corporation in his or her individual capacity and neither the Directors of the Corporation nor any Authorized Officer or the Secretary of the Corporation executing any such financing

agreement shall be liable personally thereon or be subject to personal liability by reason of the execution thereof.

C. The amount of each WIIA Grant (which amount shall not exceed the amounts authorized herein) and the terms thereof shall be determined by Authorized Officers.

D. The Authorized Officers and the Secretary of the Corporation are each individually authorized and directed to execute and deliver any such other agreements or instruments, to do and cause to be done any such other acts and things, and to make such other changes, omissions, insertions, revisions or amendments to each of the documents referred to in this Resolution as they may determine to be necessary or proper for carrying out, giving effect to and consummating the transactions contemplated by this Resolution and any financing agreement prepared pursuant to this Resolution.

E. This Resolution shall take effect immediately.

Exhibit A

DWSRF Direct Financings (Short-Term and Long-Term Financings)

Short-Term Interest-Free Financings:

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Short-Term Interest-Free Financing Maximum Principal Amount</u>	<u>Maximum Amount DWSRF Grant</u>	<u>Interest Rate</u>
18583	Crawford (T)	Orange	\$711,329	N/A	0%

Project Description

This project consists of the construction of two new production wells, construction of a water treatment building for chlorination and provisions for sequestration of iron and manganese, and installation of approximately 6,100 linear feet of water main to connect the treatment facility to the distribution system. The project is defined by the engineering report entitled "Pine Bush Water Supply" dated September 2021 by the engineering firm Barton & Loguidice, as may be updated, amended, supplemented, and approved by the Agency.

Short-Term Market-Rate Financings:

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Short-Term Market-Rate Financing Maximum Principal Amount</u>	<u>Maximum Amount DWSRF Grant</u>	<u>Initial Interest Rate (not to exceed)</u>
18583	Crawford (T)	Orange	\$1,422,658	N/A	4%

Project Description

This project consists of the construction of two new production wells, construction of a water treatment building for chlorination and provisions for sequestration of iron and manganese, and installation of approximately 6,100 linear feet of water main to connect the treatment facility to the distribution system. The project is defined by the engineering report entitled "Pine Bush Water Supply" dated September 2021 by the engineering firm Barton & Loguidice, as may be updated, amended, supplemented, and approved by the Agency.

Long-Term Interest-Free Financings:

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Long-Term Financing Maximum Principal Amount</u>	<u>Maximum Amount DWSRF Grant</u>	<u>Interest Rate</u>
18257	Cairo (T)	Greene	\$1,607,457	N/A	0%

Project Description

This project consisted of the development of additional groundwater source capacity, treatment improvements at the existing Park Well site, improvements to the existing finished water storage tank, distribution system upgrades, and installation of new water meters and meter reading equipment. The project is defined by the engineering report titled "Town of Cairo Water System Improvements" dated September 2015, by the engineering firm Delaware Engineering, P.C.

Exhibit B

Financial Assistance Payment Recipients (DW WIIA Grants)

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Maximum Grant Amount</u>
18583	Crawford (T)	Orange	\$2,311,680

Project Description

This project consists of the construction of two new production wells, construction of a water treatment building for chlorination and provisions for sequestration of iron and manganese, and installation of approximately 6,100 linear feet of water main to connect the treatment facility to the distribution system. The project is defined by the engineering report entitled "Pine Bush Water Supply" dated September 2021 by the engineering firm Barton & Loguidice, as may be updated, amended, supplemented, and approved by the Agency.

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Maximum Grant Amount</u>
18498	Nyack (V)	Rockland	\$3,000,000

Project Description

This project consists of the replacement of approximately 13,000 linear feet of aged and undersized water main. The project is defined by the engineering report entitled "Phase I Improvements to Nyack Water Distribution System" dated June 2017 by the engineering firm H2M architects + engineers, as may be updated, amended, supplemented, and approved by the Agency.

BY: /s/
Kate Siobhan Howard
Secretary to the Corporation

RESOLUTION NO. 2895

**A RESOLUTION OF THE NEW YORK STATE ENVIRONMENTAL
FACILITIES CORPORATION AUTHORIZING FINANCIAL
ASSISTANCE PAYMENTS TO SELECTED MUNICIPALITIES TO
FUND ELIGIBLE INTERMUNICIPAL WATER INFRASTRUCTURE
PROJECTS**

WHEREAS, pursuant to the New York State Environmental Facilities Corporation Act, being Chapter 744 of the Laws of 1970, constituting Title 12 of Article 5 of the Public Authorities Law and Chapter 43-A of the Consolidated Laws of the State of New York, as amended (the "Act"), the New York State Environmental Facilities Corporation (the "Corporation") has been established as a body corporate and politic constituting a public benefit corporation; and

WHEREAS, the Clean Water Infrastructure Act of 2017, being Part T of Chapter 57 of the Laws of 2017 (the "Infrastructure Act") enacted Public Authorities Law Section 1285-s to establish the New York State Intermunicipal Water Infrastructure Grants Program ("IMG"), which authorizes and directs the Corporation to provide financial assistance payments, from funds appropriated for such purpose, for intermunicipal water quality infrastructure projects that serve multiple municipalities and may include a shared water quality infrastructure project or interconnection of multiple municipal water quality infrastructure projects ("IMG Grants"); and

WHEREAS, the Infrastructure Act invested no less than \$150 million for purposes of IMG Grants and subsequent annual appropriations are available for additional support for intermunicipal water infrastructure projects; and

WHEREAS, pursuant to the IMG, a municipality is not required to accept State Revolving Fund financing from the Corporation to receive an IMG Grant; and

WHEREAS, the Corporation has completed an evaluation of the projects set forth in Exhibit A (hereinafter referred to as the "Projects"), determined that the Projects constitutes an eligible "water quality infrastructure project" as defined in Public Authorities Law Section 1285-s, and otherwise meets the criteria for award of an IMG Grant; and

WHEREAS, the Corporation desires to provide IMG Grants to the applicants listed in Exhibit A in support of water quality infrastructure projects in accordance with Public Authorities Law Section 1285-s and subject to continuing compliance with applicable law as will be more fully set forth in the closing documents for the financing of the Projects.

NOW, THEREFORE, BE IT RESOLVED BY THE DIRECTORS OF THE NEW YORK STATE ENVIRONMENTAL FACILITIES CORPORATION, AS FOLLOWS:

Section 1. Financial Assistance Payments (Grants)

A. The Corporation has reviewed the information supplied by the applicants set forth in Exhibit A in connection with its application for an IMG Grant.

B. To accomplish the purposes of the Infrastructure Act and Public Authorities Law Section 1285-s and provide financial assistance payments to the Project recipients, the Corporation is hereby authorized to provide IMG Grants, from appropriated funds, to the applicants set forth in Exhibit A subject to continuing compliance with applicable law.

The financial assistance payments shall not exceed the maximum amount of IMG Grant set forth in Exhibit A as approved under this Section 1.B.

Section 2. General

A. All covenants, stipulations, obligations and agreements of the Corporation contained in this Resolution, and in any agreement prepared pursuant to this Resolution, shall be deemed to be the covenants, stipulations, obligations and agreements of the Corporation to the full extent authorized or permitted by law, and such covenants, stipulations, obligations and agreements shall be binding upon the Corporation and its successors from time to time and upon any board or body to which any powers or duties affecting such covenants, stipulations, obligations and agreements shall be transferred by or in accordance with law. Except as otherwise provided in this Resolution, all rights, powers and privileges conferred and duties and liabilities imposed upon the Corporation by the provisions of this Resolution, or by any financing agreement prepared pursuant to this Resolution, shall be exercised or performed by the Corporation or by such directors, officers, board or body as may be required by law to exercise such powers and to perform such duties.

B. No covenant, stipulation, obligation or agreement contained in this Resolution, or in any agreement prepared pursuant to this Resolution, shall be deemed a covenant, stipulation, obligation or agreement of any director, officer, agent or employee of the Corporation in his or her individual capacity and neither the Directors of the Corporation nor any Authorized Officer executing any such agreement shall be liable personally thereon or be subject to personal liability by reason of the execution thereof.

C. The amount of each IMG Grant (which amount shall not exceed the amounts authorized herein) and the terms thereof shall be determined by either the President, any Vice President, Chief Financial Officer or the Controller (collectively, the "Authorized Officers"). The Authorized Officers and the Secretary of the Corporation are each individually authorized and directed to execute and deliver any such other agreements or instruments, to do and cause to be done any such other acts and things, and to make such other changes, omissions, insertions, revisions or amendments to each of the documents referred to in this Resolution as they may determine to be necessary or proper for carrying out, giving effect to and consummating the transactions contemplated by this Resolution and any agreement prepared pursuant to this Resolution.

D. This Resolution shall take effect immediately.

Exhibit A

Financial Assistance Payment Recipients

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Maximum Grant Amount</u>
18515	Oyster Bay Water District	Nassau	\$286,000

Project Description

This project consists of the emergency interconnection between the Oyster Bay Water District and Locust Valley Water District. The project involves the installation of approximately 3,800 linear feet of 8-inch water main. The project is defined by the engineering report entitled “New Emergency Interconnection and Associated Piping on Glen Cove – Oyster Bay Road” dated June 2017 (Revised October 2021) by the engineering firm H2M Water, as may be updated, amended, supplemented, and approved by the Agency.

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Maximum Grant Amount</u>
18654	Westchester Joint Water Works	Westchester	\$3,200,000

Project Description

This project consists of the construction of a new ultraviolet (UV) light disinfection facility at the Rye Lake Pump Station. The new UV disinfection facility will have a firm treatment capacity of 30 million gallons per day (MGD). Additional work will include upgrades to the site piping and the electrical system. The project is defined by the engineering report entitled “Basis of Design Report – UV Treatment Facility at Rye Lake” dated July 2021 by the engineering firm H2M architects + engineers, as may be updated, amended, supplemented, and approved by the Agency.

BY: /s/
Kate Siobhan Howard
Secretary to the Corporation

RESOLUTION NO. 2896

A RESOLUTION OF THE NEW YORK STATE ENVIRONMENTAL FACILITIES CORPORATION AMENDING THE PRIOR AUTHORIZATIONS FOR FINANCIAL ASSISTANCE TO BE PROVIDED BY THE CORPORATION TO CERTAIN RECIPIENTS IN CONNECTION WITH THE CLEAN WATER STATE REVOLVING FUND

Extension of the Financing Maturity Date

Albany Municipal Water Finance Authority - Project No. C4-5402-14-00

WHEREAS, by Resolution No. 2446 adopted on October 6, 2016, the Board authorized a short-term interest-free financing to be made by the Corporation to the Albany Municipal Finance Authority for a maximum principal amount not to exceed \$5,083,286, and a Clean Water State Revolving Fund Grant ("CWSRF Grant") for a maximum grant amount of \$1,750,000 to be made by the Corporation to the Albany Water Municipal Finance Authority; and

WHEREAS, on October 19, 2016, the Public Authorities Control Board ("PACB") adopted Resolution No. 16-EF-699 approving the financing; and

WHEREAS, the financing was made by the Corporation to the Albany Municipal Water Finance Authority in the maximum aggregate principal sum of \$6,833,286, which included the \$1,750,000 CWSRF Grant, pursuant to a Project Finance Agreement executed between the parties, which closed effective March 16, 2017; and

WHEREAS, the maximum period of the financing will expire on March 16, 2022, and the Albany Municipal Water Finance Authority has requested additional time in order to complete construction of the project; and

WHEREAS, the Board desires to authorize an extension of the maturity date of the Albany Municipal Water Finance Authority's financing to March 16, 2024 as set forth herein.

Modification of the Project Scope and Extension of Financing Maturity Date

Orleans (T) - Project No. C6-6027-04-00

WHEREAS, by Resolution No. 2378 adopted on August 13, 2015, the Board authorized a short-term interest-free financing to be made by the Corporation to the Town

of Orleans for a maximum principal amount not to exceed \$2,500,000 to be made by the Corporation to the Town of Orleans; and

WHEREAS, on August 19, 2015, PACB adopted Resolution No. 15-EF-660 approving the financing; and

WHEREAS, the financing was made by the Corporation to the Town of Orleans for the maximum principal amount of \$2,500,000 pursuant to a Project Finance Agreement executed between the parties, which closed effective September 10, 2015; and

WHEREAS, the original scope of the project associated with the Town of Orleans' financing has been expanded; and

WHEREAS, the maximum period of the financing will expire on September 10, 2022, and the Town of Orleans has requested additional time in order to complete construction of the project; and

WHEREAS, the Board desires to authorize (1) a modification of the project scope and, (2) an extension of the maturity date of the Town of Orleans' financing to December 31, 2022 as set forth herein.

Funding Increase

Peru (T) – Project No. C5-5508-02-00

WHEREAS, by Resolution No. 2631 adopted on March 14, 2019, the Board authorized a five-year short-term market-rate financing for a maximum principal amount not to exceed \$3,611,937, and a Water Infrastructure Improvement Grant Award ("WIIA Grant") for a maximum grant amount of \$1,006,481 to be made by the Corporation to the Town of Peru; and

WHEREAS, on March 20, 2019, PACB adopted Resolution No. 19-EF-761 approving the financing; and

WHEREAS, the financing was made by the Corporation to the Town of Peru for the maximum aggregate principal sum of \$4,618,418 which included the \$1,006,481 WIIA Grant, pursuant to a Project Finance Agreement executed between the parties, which closed effective April 4, 2019; and

WHEREAS, the project costs have increased and additional funding is necessary to complete construction of the project; and

WHEREAS, the Board desires to amend the financial assistance authorized to the Town of Peru to reflect an increase in the aggregate maximum principal amount of the financing as set forth herein.

Modification of the Project Scope and Extension of Financing Maturity Date

Suffolk County - Project No. C1-5120-09-00 & C1-5140-01-00

WHEREAS, by Resolution No. 2412 adopted on April 14, 2016, the Board authorized a short-term interest-free financing for a maximum principal amount not to exceed \$11,050,000 and short-term market-rate financing for a maximum amount not to exceed \$11,050,000 to be made by the Corporation to Suffolk County; and

WHEREAS, on April 20, 2016, PACB adopted Resolution No. 16-EF-680 approving the financing; and

WHEREAS, the financing was made by the Corporation to Suffolk County for the aggregate maximum principal sum of \$20,395,377 pursuant to a Project Finance Agreement executed between the parties, which closed effective August 4, 2016; and

WHEREAS, the original scope of the project associated with Suffolk County's financing has been expanded; and

WHEREAS, the maximum period of the financing will expire on March 30, 2022, and Suffolk County has requested additional time in order to complete construction of the project; and

WHEREAS, the Board desires to authorize (1) a modification of the project scope and (2) an extension of the maturity date of Suffolk County's financing to December 31, 2022 as set forth herein.

Funding Increase and Extension of the Maturity Date

Wellsville (V) – Project No. C9-6694-01-00

WHEREAS, by Resolution No. 2551 adopted on April 12, 2018, the Board authorized a five-year short-term interest-free financing for a maximum principal amount not to exceed \$7,733,250 to be made by the Corporation to the Village of Wellsville; and

WHEREAS, on April 18, 2018 PACB adopted Resolution No.18-EF-736 approving the financing; and

WHEREAS, the financing was made by the Corporation to the Village of Wellsville for the maximum aggregate principal sum of \$10,311,000, pursuant to a Project Finance Agreement executed between the parties, which closed effective May 17, 2018; and

WHEREAS, the project costs have increased and additional funding is necessary to complete construction of the project; and

WHEREAS, the maximum five-year period of the financing will expire on May 17, 2023, and the Village of Wellsville has requested additional time in order to complete construction of the project; and

WHEREAS, the Board desires to amend the financial assistance authorized to the Village of Wellsville to reflect an increase in the aggregate maximum principal amount of the financing and to authorize an extension of the maturity date of the Village of Wellsville's financing to May 17, 2025 as set forth herein.

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF THE NEW YORK STATE ENVIRONMENTAL FACILITIES CORPORATION, AS FOLLOWS:

Section 1. The Board hereby authorizes the extension of the maturity date of the financing provided to the Albany Municipal Water Finance Authority from March 16, 2022, to March 16, 2024. All other authorizations, determinations, terms and conditions contained in Resolution No. 2446, and any amendments relating thereto, shall remain in effect.

Section 2. The Board hereby authorizes a modification of the original project scope associated with the Town of Orleans' financing and an extension of the maturity date from September 10, 2022 to September 10, 2024, as set forth in Exhibit A. All other authorizations, determinations, terms and conditions contained in Resolution No. 2378, and any amendments relating thereto, shall remain in effect.

Section 3. The Board hereby authorizes the increase in the aggregate maximum principal amount of the financing previously authorized to the Town of Peru as set forth in Exhibit B. All other authorizations, determinations, terms and conditions contained in Resolution No. 2631, and any amendments relating thereto, shall remain in effect.

Section 4. The Board hereby authorizes a modification of the original project scope associated with Suffolk County's financing and an extension of the maturity date from March 30, 2022 to December 31, 2022 as set forth in Exhibit C. All other authorizations, determinations, terms and conditions contained in Resolution No. 2412, and any amendments relating thereto, shall remain in effect.

Section 5. The Board hereby authorizes the increase in the aggregate maximum principal amount of the financing previously authorized to the Village of Wellsville and authorizes the extension of the maturity date from May 17, 2023 to May 17, 2025 as set forth in Exhibit D. All other authorizations, determinations, terms and conditions contained in Resolution No. 2551, and any amendments relating thereto, shall remain in effect.

Section 6. This Resolution shall take effect immediately.

Exhibit A

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Short-Term Interest-Free Financing Maximum Principal Amount</u>	<u>Interest Rate</u>
C6-6027-04-00	Orleans (T)	Jefferson	\$2,500,000	0%

Project Description:

This project consists of planning, design and construction of the Thousand Island Park wastewater collection system and treatment plant upgrades. The project is defined by the engineering report entitled "Thousand Island Park Wastewater Collection and Treatment Upgrades Engineering Report" dated June 2013 and revised July 2014 by the engineering firm St. Lawrence Engineering, as may be updated, amended, supplemented, and approved by the Corporation.

Exhibit B

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Short-Term Market-Rate Financing Maximum Principal Amount</u>	<u>Interest Rate</u> (not to exceed)
C5-5508-02-00	Peru (T)	Clinton	\$5,389,945	4%

Project Description:

This project consists of planning, design and construction of sanitary sewer rehabilitation and pump station improvements. The project is defined by the engineering report entitled "Collection System Evaluation, North of Little AuSable River" dated March 6, 2015 by the engineering firm AES Northeast, as may be updated, amended, supplemented, and approved by the Corporation.

Exhibit C

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Short-Term Interest-Free Financing Maximum Principal Amount</u>	<u>Interest Rate</u>
C1-5120-09-00, C1-5140-01-00	Suffolk County	Suffolk	\$11,050,000	0%

Project Description:

This project consists of planning, design and construction management for the Suffolk County Coastal Resiliency Initiative (SCCRI) to sewer parcels currently using onsite systems in the Carlls River and Forge River watersheds. The Carlls River Watershed project is defined by the engineering report entitled "Carlls River Watershed Sewer Project" dated January 2020 revised May 2021 by the engineering firm D&B Engineers and Architects, as may be updated, amended, supplemented, and approved by the Corporation. The Forge River project is defined by the engineering reports entitled "Forge River Watershed Sewer Project Low Pressure Sewers" and "Forge River Watershed Advanced Wastewater Treatment Facility" dated August 2019 revised July 2021 by the engineering firm Gannett and Fleming as may be updated, amended, supplemented, and approved by the Corporation.

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Short-Term Market-Rate Financing Maximum Principal Amount</u>	<u>Interest Rate (not to exceed)</u>
C1-5120-09-00, C1-5140-01-00	Suffolk County	Suffolk	\$11,050,000	4%

Project Description:

This project consists of planning, design and construction management for the Suffolk County Coastal Resiliency Initiative (SCCRI) to sewer parcels currently using onsite systems in the Carlls River and Forge River watersheds. The Carlls River Watershed project is defined by the engineering report entitled "Carlls River Watershed Sewer Project" dated January 2020 revised May 2021 by the engineering firm D&B Engineers and Architects, as may be updated, amended, supplemented, and approved by the Corporation. The Forge River project is defined by the engineering reports entitled "Forge River Watershed Sewer Project Low Pressure Sewers" and "Forge River Watershed Advanced Wastewater Treatment Facility" dated August 2019 revised July 2021 by the engineering firm Gannett and Fleming as may be updated, amended, supplemented, and approved by the Corporation.

Exhibit D

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Short-Term Interest-Free Financing Maximum Principal Amount</u>	<u>Interest Rate</u>
C9-6694-01-00	Wellsville (V)	Allegany	\$16,882,250	0%

Project Description:

This project consists of planning, design and construction of wastewater treatment plant and collection system improvements. The project is defined by the engineering report entitled "Preliminary Engineering Report Village of Wellsville Wastewater Treatment Plant" dated January 2017 by the engineering firm MRB Group, as may be updated, amended, supplemented, and approved by the Corporation.

BY: /s/
Kate Siobhan Howard
Secretary to the Corporation

RESOLUTION NO. 2897

A RESOLUTION OF THE NEW YORK STATE ENVIRONMENTAL FACILITIES CORPORATION MAKING CERTAIN DETERMINATIONS AND AUTHORIZING CERTAIN ACTIONS IN CONNECTION WITH THE CLEAN WATER STATE REVOLVING FUND AND AUTHORIZING FINANCIAL ASSISTANCE PAYMENTS TO CERTAIN MUNICIPALITIES TO FUND ELIGIBLE CLEAN WATER PROJECTS FROM FUNDS APPROPRIATED FOR WATER INFRASTRUCTURE IMPROVEMENT PROJECTS

WHEREAS, pursuant to the New York State Environmental Facilities Corporation Act, being Chapter 744 of the Laws of 1970 constituting Title 12 of Article 5 of the Public Authorities Law and Chapter 43-A of the Consolidated Laws of the State of New York, as amended (the "Act"), the New York State Environmental Facilities Corporation (the "Corporation") has been established as a body corporate and politic constituting a public benefit corporation; and

WHEREAS, pursuant to Chapter 565 of the Laws of 1989, as amended (the "CWSRF Act"), the Clean Water State Revolving Fund ("CWSRF") was established in the custody of the Corporation; and

WHEREAS, the Corporation is charged with providing low-cost financing to eligible recipients while maintaining the fiscal integrity of the CWSRF; and

WHEREAS, each of the applicants listed in **Exhibit A** hereto has submitted an application to the Corporation for financial assistance under the CWSRF Act, for the purpose of financing or refinancing projects eligible for assistance under 33 USC 1383 (c) and undertaken and completed or to be undertaken and completed by such applicants; and

WHEREAS, each project proposed to be financed or refinanced by such applicants through the short-term interest-free, short-term market-rate or long-term financings and/or grants descriptions of which are set out in **Exhibit A** hereto (collectively, the "CWSRF Projects") constitute an "eligible project" within the meaning of the CWSRF Act; and

WHEREAS, with respect to the amounts identified in **Exhibit A**, the Corporation has, where necessary, identified funds available in excess of the amounts listed in the Intended Use Plan (the "IUP") or has made such moneys available through by-pass as provided in the IUP; and

WHEREAS, with respect to the short-term market-rate financings, available funds within the CWSRF have been identified, and in the future the Corporation may seek the Board of Directors' approval to issue its Corporation Bonds to fund any such projects; and

WHEREAS, the Corporation desires to provide such short-term interest-free, short-term market-rate or long-term interest-free financings to the respective applicants, in accordance with the terms and conditions set forth in **Exhibit A**, and as will be more fully set forth in the closing documents for the financings (the "Direct Financings"); and

WHEREAS, the New York State Water Infrastructure Improvement Act of 2015, being Part G of Chapter 60 of the Laws of 2015 ("WIIA 2015"), and the Clean Water Infrastructure Act of 2017, being Part T of Chapter 57 of the Laws of 2017 (the "Infrastructure Act") each authorizes and directs the Corporation to provide financial assistance payments ("Grants"), from funds appropriated for such purpose, to municipalities in support of water quality infrastructure projects; and

WHEREAS, \$400 million was appropriated over a three-year period for the purposes of WIIA 2015, and no less than \$1 billion was appropriated for purposes of funding water infrastructure improvement projects under the Infrastructure Act; and

WHEREAS, subsequent annual appropriations have been made available for the purpose of providing Grants to municipalities in support of water infrastructure improvement projects;

WHEREAS, preference for award of Grants shall be given to municipalities that meet the Corporation's hardship criteria and projects that result in the greatest water quality improvement or greatest reduction in serious risk to public health; and

WHEREAS, the Corporation has completed an evaluation of the projects set forth in **Exhibit B** (hereinafter referred to as the "WIIA Projects"), determined that each WIIA Project constitutes an eligible "water quality infrastructure project" as defined in WIIA, and otherwise meets the criteria for award of a WIIA Grant; and

WHEREAS, the Corporation desires to provide WIIA Grants to the applicants listed in **Exhibit B** in support of water quality infrastructure projects in accordance with WIIA and subject to continuing compliance with applicable law as will be more fully set forth in the closing documents for the financing of each WIIA Project.

WHEREAS, pursuant to WIIA, a municipality is not required to accept CWSRF financing from the Corporation to receive a WIIA Grant; however, municipalities often seek CWSRF financing in addition to their WIIA grant to fund the total cost of their project; and

WHEREAS, for any CWSRF Project or WIIA Project subject to Article 6 of the Environmental Conservation Law, or the State Smart Growth Public Infrastructure Policy Act, the President has attested in a written Smart Growth Impact Statement that the project meets the relevant criteria as set forth in the Smart Growth Public Infrastructure Policy Act to the extent practicable or, if a Project does not meet the relevant criteria and compliance is considered impracticable, has provided a detailed statement of justification; and

NOW, THEREFORE, BE IT RESOLVED BY THE DIRECTORS OF THE NEW YORK STATE ENVIRONMENTAL FACILITIES CORPORATION, AS FOLLOWS:

SECTION 1. SHORT-TERM AND LONG-TERM FINANCINGS AND GRANTS

A. The Corporation has reviewed the information supplied by each financing applicant, set forth in **Exhibit A**, in connection with its application for CWSRF financial assistance, and the Corporation hereby determines that it would be impracticable or inadvisable to finance all or a portion of the costs of the CWSRF Projects from the proceeds of bonds or notes that are special obligations of the Corporation. The filing of the determination contained in this Section 1.A in accordance with, and to the extent required by, applicable law and regulations by or at the direction of an officer of the Corporation is hereby authorized and confirmed.

B. To accomplish the purposes of the Act and the CWSRF Act, and to provide for the financing or refinancing of the CWSRF Projects, the Corporation is hereby authorized to provide the Direct Financings and/or the grants, from monies in the CWSRF, to the applicants listed in **Exhibit A** in compliance with applicable law. Each Direct Financing shall be in a principal amount not exceeding the principal amount set forth opposite the name of the applicant in **Exhibit A** and shall bear interest at rates not in excess of those set forth in **Exhibit A**. Each short-term Direct Financing shall mature not later than five years from the date of the closing of such short-term Direct Financing. Principal amounts and maturities are to be determined by either the President, any Vice President, Chief Financial Officer, General Counsel, or Controller (collectively, the "Authorized Officers") of the Corporation.

The Corporation is further authorized to guarantee the payment of each short-term market-rate financing from monies and assets held in the CWSRF, each short-term market-rate financing shall be in a principal amount not exceeding the principal amount set forth opposite the name of the applicant in **Exhibit A**; shall mature not later than five years from the date of the closing of such short-term market-rate financing; and shall initially bear interest at a rate or rates not in excess of those set forth in **Exhibit A** and as may be determined by any Authorized Officer from time to time in accordance with the provisions of the financing agreement. Each short-term market-rate financing shall remain eligible to compete for and receive an interest rate subsidy if authorized in the current IUP and in the event that the project score so qualifies under such IUP, which subsidy shall be provided in accordance with the provisions of the financing agreement. Further, if authorized in the IUP, each short-term market-rate financing remains eligible to apply for a zero-interest rate (hardship) determination and receive interest rate subject to and in accordance with the applicable IUP.

C. The Authorized Officers and the Secretary of the Corporation are each hereby authorized to prepare, execute, acknowledge and deliver to each applicant a financing agreement for such applicant's Direct Financing (including any grants), in such form as shall be determined by any Authorized Officer, with such amendments, supplements, changes, insertions and omissions as may be approved by any Authorized Officer. The Chief Financial Officer and the Secretary of the Corporation are each hereby authorized to affix the seal of the

Corporation on such documents and attest the same. The execution of such documents by an Authorized Officer or the Secretary of the Corporation shall be conclusive evidence of any approval or determination authorized or required by this Section 1.C or by Section 1.B of this Resolution.

SECTION 2. FINANCIAL ASSISTANCE PAYMENTS (WIIA GRANTS)

A. The Corporation has reviewed the information supplied by each applicant set forth in **Exhibit B** in connection with its application for a WIIA Grant.

B. To accomplish the purposes of WIIA and provide financial assistance payments to the WIIA Project recipients, the Corporation is hereby authorized to provide WIIA Grants, from appropriated funds, to the applicants set forth in **Exhibit B** subject to continuing compliance with applicable law.

C. The Authorized Officers are each hereby authorized to determine the amount of each WIIA Grant (which amount shall not exceed the amounts authorized herein) and the terms thereof.

SECTION 3. GENERAL

A. All covenants, stipulations, obligations and agreements of the Corporation contained in this Resolution, and in any agreement prepared pursuant to this Resolution, shall be deemed to be the covenants, stipulations, obligations and agreements of the Corporation to the full extent authorized or permitted by law, and such covenants, stipulations, obligations and agreements shall be binding upon the Corporation and its successors from time to time and upon any board or body to which any powers or duties affecting such covenants, stipulations, obligations and agreements shall be transferred by or in accordance with law. Except as otherwise provided in this Resolution, all rights, powers and privileges conferred and duties and liabilities imposed upon the Corporation by the provisions of this Resolution, or by any financing agreement prepared pursuant to this Resolution, shall be exercised or performed by the Corporation or by such directors, officers, board or body as may be required by law to exercise such powers and to perform such duties.

B. No covenant, stipulation, obligation or agreement contained in this Resolution, or in any agreement prepared pursuant to this Resolution, shall be deemed a covenant, stipulation, obligation or agreement of any director, officer, agent or employee of the Corporation in his or her individual capacity and neither the Directors of the Corporation nor any Authorized Officer or the Secretary of the Corporation executing any such financing agreement shall be liable personally thereon or be subject to personal liability by reason of the execution thereof.

C. The amount of each WIIA Grant (which amount shall not exceed the amounts authorized herein) and the terms thereof shall be determined by the Authorized Officers.

D. The Authorized Officers and the Secretary of the Corporation are each individually authorized and directed to execute and deliver any such other agreements or instruments, to do and cause to be done any such other acts and things, and to make such other changes, omissions, insertions, revisions or amendments to each of the documents referred to in this Resolution as they may determine to be necessary or proper for carrying out, giving effect to and consummating the transactions contemplated by this Resolution and any financing agreement prepared pursuant to this Resolution.

E. This Resolution shall take effect immediately.

Exhibit A

CWSRF Direct Financings (Short-Term and Long-Term Financings and Grants)

Short-Term Interest-Free Financings:

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Short-Term Interest-Free Financing Maximum Principal Amount</u>	<u>Maximum Amount CWSRF Grant</u>	<u>Interest Rate</u>
C9-6700-01-00	Franklinville (V)	Cattaraugus	\$5,050,000	N/A	0%

Project Description

This project consists of planning, design and construction of wastewater treatment facility and collection system improvements. The project is defined by the engineering report entitled "Village of Franklinville Wastewater System Study" dated July 2019 by the engineering firm Larson Design Group, as may be updated, amended, supplemented, and approved by the Corporation.

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Short-Term Interest-Free Financing Maximum Principal Amount</u>	<u>Maximum Amount CWSRF Grant</u>	<u>Interest Rate</u>
C6-6027-04-00	Orleans (T)	Jefferson	N/A	\$625,000	0%

Project Description

This project consists of planning, design and construction of the Thousand Island Park wastewater collection system and treatment plant upgrades. The project is defined by the engineering report entitled "Thousand Island Park Wastewater Collection and Treatment Upgrades Engineering Report" dated June 2013 and revised July 2014 by the engineering firm St. Lawrence Engineering, as may be updated, amended, supplemented, and approved by the Corporation.

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Short-Term Interest-Free Financing Maximum Principal Amount</u>	<u>Maximum Amount CWSRF Grant</u>	<u>Interest Rate</u>
C4-5489-05-01	Troy (C)	Rensselaer	\$6,179,184	\$1,825,000	0%

Project Description

This project consists of planning, design and construction of combined sewer overflow (CSO) abatement projects located within the city of Troy and in support of the Albany Pool Combined Sewer Overflow Long Term Control Plan. The project is defined by the engineering report entitled "Albany Pool CSO Long Term Control Plan" dated October 2013 by the Albany Pool Joint Venture Team, as may be updated, amended, supplemented, and approved by the Corporation.

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Short-Term Interest-Free Financing Maximum Principal Amount</u>	<u>Maximum Amount CWSRF Grant</u>	<u>Interest Rate</u>
C9-6613-01-00	Yorkshire (T)	Cattaraugus	\$963,750	N/A	0%

Project Description

This project consists of planning, design and construction of Yorkshire Corners Sewer District. The project is defined by the engineering report entitled "Engineer's Map, Plan, and Reports for Yorkshire Corners Sewer District" dated January 29, 2019, revised April 23, 2019 by the engineering firm MDA Consulting Engineers, PLLC, as may be updated, amended, supplemented, and approved by the Corporation.

Short-Term Market-Rate Financings:

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Short-Term Market-Rate Financing Maximum Principal Amount</u>	<u>Maximum Amount CWSRF Grant</u>	<u>Initial Interest Rate (not to exceed)</u>
C1-5120-09-01 & C1-5140-01-01	Suffolk County	Suffolk	\$3,400,000	N/A	4%

Project Description

This project consists of planning, design and construction management for the Suffolk County Coastal Resiliency Initiative (SCCRI) to sewer parcels currently using onsite systems in the Carlls River and Forge River watersheds. The Carlls River Watershed project is defined by the engineering report entitled “Carlls River Watershed Sewer Project” dated January 2020 revised May 2021 by the engineering firm D&B Engineers and Architects, as may be updated, amended, supplemented, and approved by the Corporation. The Forge River project is defined by the engineering reports entitled “Forge River Watershed Sewer Project Low Pressure Sewers” and “Forge River Watershed Advanced Wastewater Treatment Facility” dated August 2019 revised July 2021 by the engineering firm Gannett and Fleming as may be updated, amended, supplemented, and approved by the Corporation.

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Short-Term Market-Rate Financing Maximum Principal Amount</u>	<u>Maximum Amount CWSRF Grant</u>	<u>Initial Interest Rate (not to exceed)</u>
C9-6613-01-00	Yorkshire (T)	Cattaraugus	\$2,891,250	N/A	4%

Project Description

This project consists of planning, design and construction of Yorkshire Corners Sewer District. The project is defined by the engineering report entitled “Engineer’s Map, Plan, and Reports for Yorkshire Corners Sewer District” dated January 29, 2019, revised April 23, 2019 by the engineering firm MDA Consulting Engineers, PLLC, as may be updated, amended, supplemented, and approved by the Corporation.

Long-Term Interest-Free Financings:

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Long-Term Interest-Free Financing Maximum Principal Amount</u>	<u>Maximum Amount CWSRF Grant</u>	<u>Interest Rate</u>
C7-6313-03-01	East Syracuse (V)	Onondaga	\$1,009,052	N/A	0%

Project Description

This project consisted of planning, design and construction of Phase 2 of the Village of East Syracuse Infiltration/Inflow (I/I) Correction and Sanitary Sewer Overflow (SSO) Mitigation Project. The project is defined by the engineering report entitled "Infiltration/ Inflow Study and Comprehensive Work Plan" dated December 2008 by the engineering firm O'Brien & Gere and was approved by the New York State Department of Environmental Conservation (NYSDEC) on April 3, 2009 and made eligible by the New York State Environmental Facilities Corporation (NYSEFC) on October 28, 2010. The project was further defined by the plans and specifications entitled "SSO Mitigation Phase 2" dated April 2018 by the engineering firm O'Brien & Gere that were approved by the NYSDEC on May 8, 2018 and made eligible by the NYSEFC on August 20, 2018.

Exhibit B

Financial Assistance Payment Recipients (CW WIIA Grants)

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Maximum Grant Amount</u>
C1-5120-09-01 & C1-5140-01-01	Suffolk County	Suffolk	\$4,644,642

Project Description

This project consists of planning, design and construction management for the Suffolk County Coastal Resiliency Initiative (SCCRI) to sewer parcels currently using onsite systems in the Carlls River and Forge River watersheds. The Carlls River Watershed project is defined by the engineering report entitled "Carlls River Watershed Sewer Project" dated January 2020 revised May 2021 by the engineering firm D&B Engineers and Architects, as may be updated, amended, supplemented, and approved by the Corporation. The Forge River project is defined by the engineering reports entitled "Forge River Watershed Sewer Project Low Pressure Sewers" and "Forge River Watershed Advanced Wastewater Treatment Facility" dated August 2019 revised July 2021 by the engineering firm Gannett and Fleming as may be updated, amended, supplemented, and approved by the Corporation.

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Maximum Grant Amount</u>
C9-6651-06-00	Williamsville (V)	Erie	\$1,612,500

Project Description

This project consists of planning, design and construction of sewer collection system improvements in the Village of Williamsville. The project is defined by the engineering report entitled "Sanitary Sewer System Evaluation Survey (SSES) and Corrective Action Plan (CAP)" dated August 2021 by the engineering firm WM Schutt Associates, as may be updated, amended, supplemented, and approved by the Corporation.

<u>Project Number</u>	<u>Applicant</u>	<u>County</u>	<u>Maximum Grant Amount</u>
C9-6613-01-00	Yorkshire (T)	Cattaraugus	\$1,285,000

Project Description

This project consists of planning, design and construction of Yorkshire Corners Sewer District. The project is defined by the engineering report entitled "Engineer's Map, Plan, and Reports for Yorkshire Corners Sewer District" dated January 29, 2019, revised April 23, 2019 by the engineering firm MDA Consulting Engineers, PLLC, as may be updated, amended, supplemented, and approved by the Corporation.

BY: /s/
Kate Siobhan Howard
Secretary to the Corporation